

United States Environmental Protection Agency
Washington, DC 20460Work Assignment Number
ETS-0-5)

Work Assignment

☒ Original ☐ Amendment Number:Contract Number
EP-W-09-033Contract Period
Base X

Option Period Number

Title of Work Assignment
National CAA Investigations Enforcement
Support InitiativeContractor
EASTERN RESEARCH GROUP INC

Specify Section and Paragraph of Contract SOW

Purpose: ☒ Work Assignment Initiation ☐ Work Assignment Close-Out
☐ Work Assignment Amendment ☐ Incremental Funding
☐ Work Plan Approval

Periods of Performance

From: 10/01/09

To: 09/30/11

Comments:

☐ Superfund

Accounting and Appropriations Data

☒ Non-Superfund

| Line | DC (Max 6) | Budget/FYs (Max 4) | Appropriation Code (Max 6) | Budget Org/Code (Max 7) | Program Element (Max 5) | Object Class | Amount | (Dollars) | (Cents) | Site/Project (Max 8) | Cost Org/Code (Max 7) |
|------|---------------|-----------------------|-------------------------------|----------------------------|----------------------------|-----------------|--------|-----------|---------|-------------------------|--------------------------|
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Authorized Work Assignment Ceiling

Contract Period:

Cost/Fee

LOE

Previously Approved

This Action

Total

\$0.00

11,150

Work Plan / Cost Estimate Approvals

Contractor WP Dated:

Cost/Fee:

LOE:

Cumulative Approved:

Cost/Fee: \$0.00

LOE: 11,150

Work Assignment Manager Name

SOUNJAY K. GAIROLA

Branch/Mail Code 2242A

Phone Number 202-564-4003

Fax Number 202-564-0068

(Signature)

(Date)

Project Officer Name

MILA M. LY

Branch/Mail Code 2248A

Phone Number 202-564-3713

Fax Number 202-564-0010

(Signature)

(Date)

Other Agency Official Name

Branch/Mail Code

Phone Number

Fax Number

(Signature)

(Date)

Contracting Official Name

RACHEL SCHWARTZ

Branch/Mail Code 3803R

Phone Number 202-564-1053

Fax Number 202-565-2554

(Signature)

(Date)

Contractor Acknowledgement of Receipt and Approval of Workplan (Signature and Title)

Date

National CAA Investigations Enforcement Support Initiative

Contract: EP-W-09-033, Work Assignment: ETS-0-1(CE)

Summary Information

Title: National CAA Investigations Enforcement Support Initiative
Period of Performance: From: 10/01/09
To: 09/30/11
Award Date:
Total Funding:

Procurement Management Roles

WORK ASSIGNMENT MANAGER:

U.S. E.P.A.
Attn: SOUNJAY K. GAIROLA
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Fax Number: 202-564-0068
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ALTERNATE WORK ASSIGNMENT MANAGER:

U.S. E.P.A.
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Attachments

Attachment Name

National CAA Investigations Enforcement Support Initiative

EPA Contract #: EP-W-09-033 (ERG)

STATEMENT OF WORK

Work Assignment #: ETS-0-1-(CE)

1. Title: National CAA Investigations Enforcement Support Initiative

2. Period of Performance: Effective Date through September 30, 2011

3. Work Assignment Manager (WAM) and Alternate WAM:

WAM: Sounjay K Gairola
U.S. Environmental Protection Agency (Mail Code: 2242-A)
1200 Pennsylvania Ave, NW
Ariel Rios - South, Room 2109-A
Washington, DC 20460
Phone: (202) 564-4003
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Alternate WAM: Shaun Burke
U.S. Environmental Protection Agency (Mail Code: 2242-A)
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Washington, DC 20460
Phone: (202) 564-1039
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BACKGROUND

The Air Enforcement Division (AED) in the Office of Civil Enforcement (OCE) has been conducting investigations of various priority industrial sectors of concern. These investigations have been focused on determining present and historic compliance of these priority sectors with applicable Clean Air Act requirements. Applicable requirements include Prevention of Significant Deterioration (PSD)/New Source Review (NSR), as well as New Source Performance Standards (NSPS), National Emissions Standards for Hazardous Air Pollutants (NESHAPS), Best Achievable Control Technology (BACT), Maximum Achievable Control Technology (MACT), and others. EPA has collected information from multiple sources to enable it to assess compliance and pursue enforcement as appropriate. This work assignment will facilitate EPA's enforcement efforts for the above requirements in various priority industrial sectors of concern.

EPA estimates that the support described in this work assignment will encompass detailed analyses of no more than 150 facilities owned by approximately 50 companies which are in no more than 15 priority industrial sectors of concern throughout the United States (EPA Region 1 through Region 10). EPA has already initiated activities for some of these sectors and facilities. Activities for other sectors and facilities have not yet been initiated; these sectors and facilities have not yet been determined. Because these activities are ongoing for several of the sectors and facilities, not all of the activities identified in the Statement of Work will be required for each of the 15 sectors or 50 companies. The WAM shall issue a technical direction when the sectors, facilities, and/or companies are identified. If additional new sectors, facilities, and/or companies are identified at a later date, then technical direction shall be issued to replace one sector, company, and/or facility with

another such that no more than 150 facilities and no more than 50 companies in no more than 15 priority industrial sectors will be worked on by the contractor at any given time. Because of the confidential nature of the investigations the names of the facilities are not being provided in this statement of work. The contractor shall not provide any duplication of effort of the work already completed from the prior work assignments, ETS-2-2 (CE) Furthermore, in no cases shall the contractor duplicate work that has been performed by EPA or other personnel.

PURPOSE AND OBJECTIVE

The purpose of this work assignment is to support EPA with case development activities related to OCE's national Prevention of Significant Deterioration(PSD)/New Source Review(NSR), New Source Performance Standards (NSPS), National Emissions Standards for Hazardous Air Pollutants (NESHAPS), Best Achievable Control Technology (BACT), Maximum Achievable Control Technology (MACT) investigations, and other Clean Air Act requirements. Over the past several years, OCE has investigated several companies in a variety of sectors to evaluate compliance with these Clean Air Act requirements. The contractor will support EPA in continuing ongoing investigations as well as with new investigations. This support will include administrative activities such as file scanning and indexing, identifying information labeled as confidential business information and cataloguing, indexing, and filing these files, and managing files received via information collection requests. This support will also include technical evaluation of data provided by companies being investigated, to identify process modifications that may have triggered Clean Air Act requirements. The types of data to be evaluated include emissions data, process data, and information on process modifications (including requests for expenditures and engineering studies). The contractor will review the data and information to identify process modifications that may have triggered Clean Air Act requirements, and estimate emissions changes associated with these process changes. The contractor will provide a complete set of documentation for each process modification that may have resulted in emissions increases triggering regulatory requirements. The contractor will also provide financial and economic analyses to assess the financial benefits to the facilities of noncompliance and the potential ability of these facilities to install control technologies and pay penalties. Finally, the contractor will provide training support in these aspects of the Clean Air Act as well as the industrial sectors being evaluated.

CONTRACT SOW REFERENCE

The appropriate sections of the Contract SOW contract which cover the tasks in this work assignment are: Contract SOW, page 3, A (Enforcement Program Development and Implementation - all tasks), B (Enforcement Case Support - all tasks), C.I (Inspections), C.II (Laboratory Support), D.III (Information Management) and D.IV (Outreach Efforts and Logistical Support for Conferences, Workshops, Meetings, and Briefings), and E (Records Management) by providing administrative and technical support for case development and hearing preparation, and supporting inspections and sample collection and analysis activities.

SCOPE OF WORK - TASKS TO BE PERFORMED BY THE CONTRACTOR

Under this work assignment, the Contractor shall provide administrative and technical support for case development, will handle any documentation and evidence related to the facilities under investigation, and

provide expert technical assistance for the analysis of all evidence. Support will be required by AED at EPA headquarters and EPA Regions 1 through 10. The contractor shall not provide any duplication of effort of the work already completed from prior contract number EP-W-04-047, work assignment numbers ETS-2-2 (CE) and ETS-2-5(CE), and/or from any other prior work assignments.

It is important to note that the contractor does not make compliance and enforcement determinations. All the tasks described in this scope of work will provide information and support to EPA in making appropriate final enforcement and compliance determinations and initiating any appropriate enforcement actions. While performing the tasks requested in this work assignment, the contractor shall clearly identify themselves to the other parties and direct any questions regarding any compliance status to the EPA. The contractor shall provide a conflict of interest (COI) certification before initiating work on each technical direction issued.

Task 1. Management and Reporting

The contractor shall prepare a comprehensive and thorough work plan outlining the approach for all the tasks identified in this Statement of Work, and provide a cost estimate for this entire work assignment. The contractor shall submit a new cost estimate identifying budgets and schedules for each individual task in this Statement of Work. Each month, the contractor shall submit activity reports to the WAM to summarize work performed during the previous month, itemized costs incurred, and expected costs for the next month. The contractor shall itemize costs by task. At the request of the WAM, the contractor will further itemize the costs by company.

Task 2. Sector Data Analysis

The contractor shall support EPA as directed in technical direction by researching and compiling data on production, emissions and pollution controls for the various priority industrial sectors of interest to EPA. When EPA identifies the priority industrial sectors for more detailed analysis, the contractor shall support EPA as directed in technical directives by researching and compiling data on production, emissions, and pollution controls at a sector level, and for specific companies and facilities. The contractor shall evaluate publicly available information such as EPA databases (e.g., AIRS, TRI), EPA reports (e.g., industry sector notebooks), trade journals, permits, and other technical literature as necessary and appropriate.

Task 3. Company Data Analysis

The Contractor shall provide technical assistance to AED in the investigation of approximately 150 facilities. The WAM will issue technical direction identifying the companies for the contractor to initiate the investigations.

- A. Information Collection and Analysis: The Contractor shall review information collected for the 150 facilities identified by the EPA WAM and identify additional information, if any, that may be necessary to complete the compliance analyses. The contractor shall support EPA as directed in technical direction by providing analyses summarizing permit history, emissions estimates, and control technology reviews. The Contractor shall provide preliminary investigation support prior to the EPA issuance of CAA Section 114 information collection requests, as well as evaluation and analysis support of CAA Section 114 responses received from various companies. The Contractor shall support EPA in the collection of other additional information. It is estimated that no more than 500,000 pages of information collection and analysis will be required for this

effort. This information will be collected from companies, states, and/or EPA Regional offices. The information collection effort will proceed as follows:

1. The EPA WAM will contact the EPA Regions to inform them about this project, to notify them of the intent to collect information, and to request their participation in the project. The EPA WAM will inform the Region that the contractor will contact them to request and collect the information relevant to the facilities of concern.
 2. After contacting each Region, the EPA WAM will provide the names of the regional contacts to the Contractor.
 3. The Contractor shall contact the regional contacts within one week of receiving notice from the EPA WAM and determine the following:
 - a. Location of the additional information;
 - b. Amount of documentation available at each location;
 - c. In what format the information is available (e.g., electronic form, hard copy, or a combination); and,
 - d. How and when the information can be collected.
 4. Within three days of completing Task A.3 the Contractor shall inform the EPA WAM in writing of the answers corresponding to items a - d.
 5. Information may be collected through the mail, electronically, or may require travel of up to five (5) trips to EPA Regions by a team of no more than two (2) persons for a maximum of four (4) days of travel per trip as stated on page 8 (anticipated travel requirements). The WAM will issue technical directions(TD) to clarify the dates and locations to collect the information.
- B. The Contractor shall provide expert assistance to EPA in analyzing evidence regarding changes/modifications made to the estimated 150 companies as necessary. The contractor shall also provide expert assistance to EPA in determining the impacts on a facility's emissions and compliance with PSD/NSR, NSPS, NESHAPS, MACT, BACT and other requirements. This support may require travel of up to five (5) trips to EPA Regions by a team of no more than three (3) persons for a maximum of four (4) days of travel per trip, and will be requested through TD to clarify the dates and locations within these regions.
- C. The Contractor may be required to conduct, or support EPA in conducting, inspections of these facilities. All proposed staff carrying out field inspections must have received three types of training to meet the requirements of the EPA Order 3500.1. These are: a) Basic Inspector Training; b) Health and Safety Training and c) Program Specific Training, and shall have the proper authorization/credentials issued by the EPA prior to entry of the facilities. It is anticipated that five (5) inspections will be conducted by a team of no more than three (3) persons for a maximum of four (4) days of travel per inspection. The EPA WAM will issue TD to provide clarification of the dates and the facility sites to conduct these inspections (see page 6 for anticipated travel requirements). These inspections may include collection of samples for environmental measurement. The need for sample collection and analysis will be identified by the WAM through technical direction.
- D. The Contractor shall provide expert assistance in the development of technical questions relevant to their findings for EPA interviews of company and plant personnel. This may require contractors to participate in meetings and/or conference calls.

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- E. The Contractor shall provide expert assistance in reviewing documents, files, and attending meetings concerning the implementation of past, present, and future consent decrees.

Task 4. Administrative Support

The Contractor shall provide administrative support to EPA Headquarters and Regional Offices. This may include bates stamping, indexing, photocopying, and/or filing of documents relevant to an investigation; creation and/or modification of privilege logs; and document formatting and processing. It is anticipated that up to 5 (five) trips with 2-4 (two to four) junior staff for up to 3 (three) days will be necessary; trip durations will be clarified by TD.

Task 5. Training Support

As requested through technical direction from the WAM, the contractor shall provide training support related to all CAA statutes, regulations, and requirements (e.g. NESRIAPS, PSD/NSR, NSPS, BACT, MACT, and others). This training may also include industrial sector-specific process training. The contractor will be responsible for content development and training materials preparation and delivery, and may be asked to provide logistical support (e.g., scheduling training, course registration, arranging training locations, audio/visual equipment). Upon receipt of technical direction, the contractor shall prepare an outline of the training course content. After EPA approval of the outline, the contractor will prepare the draft training presentation and materials for EPA review, and incorporate EPA comments to prepare the draft training presentation and materials. It is anticipated that up to 2 (two) trips with 1 to 2 staff for up to 2 (two) days will be necessary; trip durations will be clarified by TD.

Task 6. National and Regional Meeting and Conference Support and Facilitation

Under this task, the contractor shall provide any instructional and/or logistical support needed in preparation for a national meeting or regional meeting for EPA and State enforcement and inspection staff on enforcement-related and technical issues (in the classroom, and as appropriate, in the field), including any logistical or instructional support related to the meeting or training.

A. Logistical support. Provide logistical support related to meetings and training to include registration, contracting with hotels to rent conference facilities, light refreshments (for EPA staff on travel status only) and audiovisual equipment, and, as appropriate, taking notes, facilitating hands-on training (e.g. visits to the field), developing and providing agendas, presentation or course materials, handouts, furnishing speakers, instructors, and facilitators, and developing and providing event summaries and evaluation forms. Light refreshments arrangements for the national meetings shall only be provided to EPA employees on travel status.

B. Instructional support. Provide instructional support related to workshops and training to include developing and providing agendas, course materials, presentation materials, handouts (e.g. graphics, exhibits, slides, model inspector checklists), furnishing instructors and speakers, and developing and providing workshop and training course summaries.

DELIVERABLES REQUIRED AND SCHEDULE FOR COMPLETION OF TASKS

Note: The nature of these investigations involves significant document collection, review and analysis, and continued strategic planning and re-scheduling. These investigations are not like Superfund or other program investigations where a site is selected and a series of physical activities (i.e., groundwater sampling) are planned and executed. Therefore, the Deliverables and Travel sections include estimates based on past experience and current planning.

| Task | Item | Due Date |
|------|---------------------------------------|---|
| 1 | Progress Reports | 20 th of each month, with more frequent updates as requested by the WAM through TDs. |
| 2 | Sector Data Analysis | Clarification of dates and specific deliverables will be provided by the WAM through TDs. |
| 3.A | Information Collection Review | Clarification of dates & location for information collection will be provided by the WAM through TDs. |
| 3.B | Analysis of Evidence | Clarification will be provided by the WAM through TD on the specific facility to be analyzed for evidence of modifications. |
| 3.C | Inspections | Up to five inspections, by a team of no more than four people traveling for a maximum of four days. Dates & location will be provided by the WAM through TD. Inspection plans due 5 days before each inspection. Draft inspection reports due 10 days after each inspection. Each final inspection report due 5 days after receiving comments from EPA WAM. |
| 3.D | Quality Assurance Project Plan (QAPP) | Two weeks prior to initiating any environmental measurement activities directed by the EPA WAM. |
| 4.A | Document Processing | Clarification of location/site of the documents will be provided by EPA WAM through TD. Estimate no more than 250,000 pages for overall total requirements. |
| 4.B | CD-ROMs | CDs containing intermediate or discrete pieces of the full document collection of a specific plant when requested by EPA WAM. |
| 5 | Training Materials | Clarification of dates and specific deliverables will be provided by the WAM through TDs. |

ANTICIPATED TRAVEL REQUIREMENTS

Most of the travel is projected to be primarily in the east coast area. Technical directions will be issued by the WAM within 2 weeks of the scheduled trip to clarify the specific travel dates and the number of persons required for the following tasks:

| Task | Travel Destination /Purpose | Details |
|-------------|---|--|
| 3.A | Information Collection | No More Than 5 trips of 1-2 people for up to 4 days. |
| 3.B | Expert Technical Support to IIQ & Regions | No More Than 5 trips of 1-3 people for up to 4 days to EPA Regions. |
| 3.C | Inspections | No More Than 5 inspections of 1-3 people for up to 4 days. Trips may be combined for efficiency. |
| 4 | Administrative support | No More Than 5 trips with 2-4 junior staff for up to 3 days; trip durations will be specified by TD. |
| 5 | Training | No More Than 2 trips of 1-2 persons for up to 2 days as be specified by TD. |

ESTIMATED LEVEL OF EFFORT (HOURS)

The estimated total level of effort requirement for the first year of this two year base period, (October 1, 2009 through September 30, 2011), is 11,150 hours and shall be funded incrementally.

ADDITIONAL REPORTING REQUIREMENTS

Upon issuance of written technical direction, the Contractor shall submit for inspection all work in progress at any time under this work assignment. The Contractor shall develop and maintain files supporting each task.

The contractor shall contact the Contracting Officer and/or the Project Officer by telephone to discuss any problems that may adversely affect the work on this Work Assignment. Within five calendar days the contractor shall follow the phone call with a brief written explanation of the problem, including any actions already taken, and/or recommended solutions to correct the problem. Written explanation shall be made available to the CO and the PO.

CONTRACTOR IDENTIFICATION

To avoid any perception that contractor personnel are EPA employees, the contractor shall assure that contractor personnel are clearly identified as independent contractors of EPA when attending meetings with outside parties or visiting field sites.

CONTROL REQUIREMENTS

1. Enforcement Sensitive Information:

The contractor recognizes that contractor employees in performing tasks specified by this WA may have access to data/information, either provided by the government or first generated during contract performance, of enforcement sensitive nature which should not be released to the public without Environmental Protection Agency (EPA) approval. Enforcement sensitive refers to records or information compiled for law enforcement purposes (whether administrative, civil or criminal), the disclosure of which could reasonably be expected to interfere with the enforcement action. It is imperative that all contractor personnel, including but not limited to, subcontractor and consultant personnel assigned to work on this contract and/or WA, or with access to materials developed pursuant to such efforts, understand that this information is confidential and any disclosure or misuse of the information may result in prosecution to the fullest extent of the law. All contractor personnel are expected to exercise due diligence in safeguarding, handling or disposing of any such information.

2. Project Employee Confidentiality Agreement

The contractor agrees that the contractor employee will not disclose, either in whole or in part, to any entity external to the EPA, the Department of Justice, or the contractor, any information or data (as defined in FAR Section 27.401) provided by the government or first generated by the contractor under this contract, any site-specific cost information, or any enforcement strategy without first obtaining the written permission of the EPA Project Officer. If a contractor, through an employee or otherwise, is subpoenaed to testify or produce documents, which could result in such disclosure, the contractor must provide immediate advance notification to the EPA so that the EPA can take action to prevent such disclosure. Such agreements shall be effective for the life and for a period of five (5) years after completion of the contract.

3. Handling of Confidential Business Information (CBI)

- A. To the extent that the work under this contract requires access to proprietary or confidential business or financial data of other companies, and as long as such data remains proprietary or confidential, the contractor shall protect such data from unauthorized use and disclosure.
- B. All files or other information identified as Confidential Business Information (CBI) shall be treated as confidential and kept in a secure area with access limited to only contractor personnel directly involved in the case or special project assignment. The contractor, subcontractor, and consultant personnel are bound by the requirements and sanctions contained in their contracts with the EPA and in EPA's confidentiality regulations found at 40 CFR Part 2, Subpart B. The contractor, subcontractors, and

consultants must adhere to EPA-approved security plans which describe procedures to protect CBI, and are required to sign non-disclosure agreements before gaining access to CBI.

- C. All official data, findings, and results of investigations and studies completed by the contractor shall be available for EPA and DOJ internal use only. The contractor shall not release any part of such data without the written direction of the WAM.

4. Facility Inspections Credentials

Contractor employees who conduct lead inspections on behalf of the Environmental Protection Agency (EPA) under this SOW must complete the following EPA Training Program requirements as specified in Item 4 of Executive Order 3500.1. These are the: a) Basic Inspector Training; b) Occupational Health and Safety Training; and c) Program Specific Training. An authorization letter will be issued to the contractor staff by the WAM Program Office Director. The contractor shall present the authorization letter at the time of the facility or site inspection with their proper identification as the EPA contractor of this contract.

5. Quality Assurance Project Plan (QAPP)

Should the contractor be required to conduct any environmental measurements under this task order, the contractor shall provide the quality assurance project plan to the Project Officer prior to initiating these activities. The plan shall be prepared in accordance with the EPA Quality Manual for Environmental Program 5360 A1, May 5, 2000, and EPA Requirements for Quality Assurance Project Plans (QA/R-5 dated 3/20/01) <http://epa.gov/quality/qs-docs/r5-final.pdf>

For any tasks that require the analysis or evaluation of secondary data the contractor shall provide a QAPP.

6. Conflict of Interest (OCI)

A. Organizational Conflict of Interest:

The contractor shall warrant that, to the best of the contractor's knowledge and belief, there are no relevant facts or circumstances which could give rise to an organizational conflict of interest, as defined in FAR Subpart 9.5, or that the contractor has disclosed all such relevant information.

B. Notification of Conflicts of Interest Regarding Personnel:

The contractor shall immediately notify the Project Officer and the Contracting Officer of (1) any actual or potential personal conflict of interest with regard to any of its employees working on or having access to information regarding this contract, or (2) any such conflicts concerning subcontractor employees or consultants working on or having access to information regarding the contract, when such conflicts have

been reported to the Contractor. A personal conflict of interest is defined as a relationship of an employee, subcontractor employee, or consultant with an entity that may impair the objectivity of the employee, subcontractor employee, or consultant in performing the contract work. _____

United States Environmental Protection Agency
Washington, DC 20460

Work Assignment Number

ETS-0-1(CL

Work Assignment☐ Original ☒ Amendment Number: 1Contract Number
EP-W-09-033Contract Period
Base X Option Period NumberTitle of Work Assignment
National CAA Investigations Enforcement
Support InitiativeContractor
EASTERN RESEARCH GROUP INC

Specify Section and Paragraph of Contract SOW

Purpose: ☐ Work Assignment Initiation ☐ Work Assignment Close-Out
☒ Work Assignment Amendment ☐ Incremental Funding
☒ Work Plan ApprovalPeriods of Performance
From: 10/01/09 To: 09/30/11

Comments:

This action approves the work plan dated 10/20/09.

☐ Superfund**Accounting and Appropriations Data**☒ Non-Superfund

| Line | DC (Max 6) | Budget/FYs (Max 4) | Appropriation Code (Max 6) | Budget Org/Code (Max 7) | Program Element (Max 9) | Object Class | Amount | (Dollars) | (Cents) | Site/Project (Max 8) | Cost Org/Code (Max 7) |
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Authorized Work Assignment Ceiling

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|---------------------|----------------|--------|
| Contract Period: | Cost/Fee | LOE |
| Previously Approved | \$0.00 | 11,150 |
| This Action | \$1,142,091.00 | 0 |
| Total | \$1,142,091.00 | 11,150 |

Work Plan / Cost Estimate Approvals

| | | |
|-------------------------------|--------------------------|-------------|
| Contractor WP Dated: 10/20/09 | Cost/Fee: \$1,142,091.00 | LOE: 11,150 |
| Cumulative Approved: 11/19/09 | Cost/Fee: \$1,142,091.00 | LOE: 11,150 |

Work Assignment Manager Name

SOUNJAY K. GAIROLA

Branch/Mail Code 2242A

Phone Number 202-564-4003

Fax Number 202-564-0068

(Signature)

(Date)

Project Officer Name

MILA M. LY

Branch/Mail Code 2248A

Phone Number 202-564-3713

Fax Number 202-564-0010

(Signature)

(Date)

Other Agency Official Name

Branch/Mail Code

Phone Number

Fax Number

(Signature)

(Date)

Contracting Official Name

RACHEL SCHWARTZ

Branch/Mail Code 3803R

Phone Number 202-564-1053

Fax Number 202-565-2554

(Signature)

(Date)

Contractor Acknowledgement of Receipt and Approval of Workplan (Signature and Title)

Date

National CAA Investigation. Enforcement Support Initiative

Contract: EP-W-09-033, Work Assignment: ETS-0-1(CE), Amendment: 0001

Summary Information

Title: National CAA Investigations Enforcement Support Initiative
Period of Performance: From: 10/01/09 To: 09/30/11
Award Date: 10/01/09
Total Funding:

WA Totals

The following item(s) have been added:

| Category | POP | Amount |
|----------------|----------|--------|
| Estimated Cost | Base Pd. | (b)(4) |
| Fixed Fee | Base Pd. | |

United States Environmental Protection Agency
Washington, DC 20460

Work Assignment Number

ETS-0-1 E)

Work Assignment☐ Original ☒ Amendment Number 2Contract Number
EP-W-09-033Contract Period
Base X Option Period NumberTitle of Work Assignment
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☐ Work Plan ApprovalPeriods of Performance
From: 10/01/09 To: 09/30/11

Comments:

The purpose of this amendment is to obtain continuing support for this effort. Because of the unpredictable nature of the work, an exemption has been granted to allow OCE to provide its LOE estimate. For travel planning purposes, estimate two trips, for two persons, each of five days in duration to Regions 1 through 10. The Contractor shall provide a cost estimate.

☐ Superfund**Accounting and Appropriations Data**☒ Non-Superfund

| Line | DC (Max 6) | Budget/FYs (Max 4) | Appropriation Code (Max 6) | Budget Org/Code (Max 7) | Program Element (Max 9) | Object Class | Amount | (Dollars) | (Cents) | Site/Project (Max 8) | Cost Org/Code (Max 7) |
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Authorized Work Assignment Ceiling

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|---------------------|----------------|--------|
| Contract Period: | Cost/Fee | LOE |
| Previously Approved | \$1,142,091.00 | 11,150 |
| This Action | | 7,500 |
| Total | \$1,142,091.00 | 18,650 |

Work Plan / Cost Estimate Approvals

| | | |
|-----------------------|--------------------------|-------------|
| Contractor WP Dated : | Cost/Fee: | LOE: |
| Cumulative Approved: | Cost/Fee: \$1,142,091.00 | LOE: 18,650 |

Work Assignment Manager Name
SOUNJAY K. GAIROLABranch/Mail Code 2242A
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Contracting Official Name
RACHEL SCHWARTZBranch/Mail Code 3803R
Phone Number 202-564-1053
Fax Number 202-565-2554

(Signature) (Date)

Contractor Acknowledgement of Receipt and Approval of Workplan (Signature and Title)

Date

National CAA Investigative Enforcement Support Initiative

Contract: EP-W-09-033, Work Assignment: ETS-0-1(CE), Amendment: 0002

Summary Information

Title: National CAA Investigations Enforcement Support Initiative
Period of Performance: From: 10/01/09
To: 09/30/11
Award Date: 10/01/09
Total Funding:

WA Classification

The following changes have occurred:

The Labor Hour Ceiling has changed from 11150 to 18650.

United States Environmental Protection Agency
Washington, DC 20460**Work Assignment**

Work Assignment Number

ETS-0-1, (3)

☐ Original ☒ Amendment Number: 3Contract Number
EP-W-09-033Contract Period
Base ☒ Option Period NumberTitle of Work Assignment
National CAA Investigations Enforcement
Support InitiativeContractor
EASTERN RESEARCH GROUP INC

Specify Section and Paragraph of Contract SOW

Purpose: ☐ Work Assignment Initiation ☐ Work Assignment Close-Out
☒ Work Assignment Amendment ☐ Incremental Funding
☒ Work Plan ApprovalPeriods of Performance
From: 10/01/09 To: 09/30/11

Comments:

This action approves the cost estimate dated 4/7/11.

☐ Superfund**Accounting and Appropriations Data**☒ Non-Superfund

| Line | DC (Max 6) | Budget/FYs (Max 4) | Appropriation Code (Max 6) | Budget Org/Code (Max 7) | Program Element (Max 9) | Object Class | Amount | (Dollars) | (Cents) | Site/Project (Max 8) | Cost Org/Code (Max 7) |
|------|---------------|-----------------------|-------------------------------|----------------------------|----------------------------|-----------------|--------|-----------|---------|-------------------------|--------------------------|
| 1 | | | | | | | | | | | |
| 2 | | | | | | | | | | | |
| 3 | | | | | | | | | | | |
| 4 | | | | | | | | | | | |
| 5 | | | | | | | | | | | |

Authorized Work Assignment Ceiling

| | | |
|---------------------|----------------|--------|
| Contract Period: | Cost/Fee | LOE |
| Previously Approved | \$1,142,091.00 | 11,150 |
| This Action | \$749,217.00 | 7,500 |
| Total | \$1,891,308.00 | 18,650 |

Work Plan / Cost Estimate Approvals

| | | |
|-----------------------------|--------------------------|-------------|
| Contractor WP Dated: 4/7/11 | Cost/Fee: \$749,217.00 | LOE: 7,500 |
| Cumulative Approved: 5/5/11 | Cost/Fee: \$1,891,308.00 | LOE: 18,650 |

Work Assignment Manager Name

SOUNJAY K. GAIROLA

Branch/Mail Code 2242A

Phone Number 202-564-4003

Fax Number 202-564-0068

(Signature)

(Date)

Project Officer Name

ROSE M. GREEN

Branch/Mail Code 2248A

Phone Number 202-564-7105

Fax Number 202-564-0010

(Signature)

(Date)

Other Agency Official Name

Branch/Mail Code

Phone Number

Fax Number

(Signature)

(Date)

Contracting Official Name

MICHAEL GILHAM

Branch/Mail Code 3803R

Phone Number 202-564-6090

Fax Number 202-565-2554

(Signature)

(Date)

Contractor Acknowledgement of Receipt and Approval of Workplan (Signature and Title)

Date